

STOCK CLEARING CORPORATION OF PHILADELPHIA FEE SCHEDULE

1. ACCOUNT FEES

Maintenance Fee	\$150.00 per month for RIO accounts with 20 or fewer trades per month \$250.00 per month for RIO accounts with over 20 trades per month \$650.00 per month for margin accounts
Additional Suffix	\$32.00 per month per suffix

2. TRADE RECORDING FEES

XLE Trades

Blue Tickets¹ \$ 0.47 per side

XLE Market Maker Trades
Cleared Via a SCCP
Margin Account \$0.30 per trade maximum
\$100,000 per month

Proprietary Trades Cleared Via a
SCCP Margin Account \$0.47 per trade

All Other XLE Trades No Charge

NON-XLE Trades

Yellow Tickets² \$0.47 per side

¹ A Blue Ticket refers to a Phlx XLE execution where the clearing information is submitted to SCCP from a source other than XLE.

² Yellow tickets are used for clearing only transactions, which are non-Phlx XLE executions.

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3. TRANSACTION FEES Blue tickets and Yellow tickets

RIO Accounts	\$0.0012 per share per side
Margin Accounts	\$0.035 per \$1,000 of contract value per side
Maximum Value Charge	\$25.00 per trade per side

4. TREASURY TRANSACTIONS

Per trade transaction	\$40.00 (plus pass through costs)
Per transfer	\$10.00 (plus pass through costs)

5. MARGIN ACCOUNT INTEREST

Charge on net debit balances	½% above bank broker call rate
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6 RESEARCH FEES

Per photocopy of input forms	\$4.00
Per microfiche copy	\$4.00
Items less than 90 days old	No charge
Items 1 year old or less	\$15.00 per hour
Items over 1 year old	\$15.00 per hour, \$25.00 minimum, Plus archive retrieval costs

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7. COMPUTER TRANSMISSIONS/TAPES

Purchase and Sale Trade Data (daily)	\$100.00 per month
Purchase and Sale Trades plus T+2 Settling Trades (daily)	\$150.00 per month
Miscellaneous	\$150.00 per month; includes 6 Tapes/transmissions
	\$25.00 per additional Tape/transmission

8. P&L STATEMENT CHARGES

\$0.01 per line

9. BUY-INS

\$5.00 per item

10. TRADE TICKET ADJUSTMENT FEE

0 to 5 Trade Ticket adjustments per month	No Charge
6 to 10 Trade Ticket adjustments per month	\$50 per ticket adjustment for tickets 6-10
11 to 15 Trade Ticket adjustments per month	\$100 per ticket adjustment for tickets 11-15
16 to 20 Trade Ticket adjustments per month	\$200 per ticket adjustment for tickets 16-20
21 or more Trade Ticket adjustment per month	\$300 per ticket adjustment for tickets in excess of 20