



# FINRA/Nasdaq TRF Chicago Participation Request Form

<b>Firm Name (Member)</b>	
<b>Address:</b>	
<b>MPID:</b>	
<b>CRD:</b>	

**Whereas**, Member is a participant in good standing of the FINRA/Nasdaq Trade Reporting Facility (TRF) Carteret;

**Whereas**, Member desires to be a participant of the FINRA/Nasdaq TRF Chicago under the same terms and conditions.

NOW, THEREFORE, MEMBER ACKNOWLEDGES AND AGREES AS FOLLOWS:

1. Member elects to participate in the FINRA/Nasdaq TRF Chicago.
2. All applicable rules and agreements governing Member's participation in the FINRA/Nasdaq TRF Carteret shall be expanded to include Member's participation in the FINRA/Nasdaq TRF Chicago including, but not limited to, FINRA Rule 6300A series, FINRA Rule 7200A series, FINRA Rule 7600A series, the U.S. Services Agreement, and the Front End Service Request.
3. Member will update its existing FINRA Transparency Services Uniform Executing Broker Agreement(s) and FINRA Transaction Fee Transfer Agreement(s), as applicable, in accordance with FINRA requirements.

IN WITNESS WHEREOF, Member hereto has caused this Form to be executed by its duly authorized officer.

**Member:**

Signature: \_\_\_\_\_

Printed  
Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

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