



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems**  
**UNIFORM PRACTICE ADVISORY (UPC # 148-2003) September 4, 2003**

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**NRG Energy, Inc. – Corporate Units (OTC:NRZEQ)**

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The above corporate unit (CUSIP: 629377201) consisted of an obligation to purchase Xcel Energy shares and a \$25.00 principal amount of a NRG Senior Debenture. Notice has been received that upon the bankruptcy filing the NRG made on May 15, 2003, the obligation to purchase Xcel Energy shares expired.

The remaining NRG Senior Debenture was issued an equity CUSIP number of 629377409. Since the security is a form of indebtedness, a debt CUSIP number of 629377AN2 has been issued. The Depository Trust & Clearing Corporation (DTCC) will credit participants with the NRG Energy Senior Debentures debt CUSIP number on September 5, 2003.

The security will be removed for the OTC Equity file effective September 5, 2003. Members are reminded that since this issue is considered a debt security, it is not subject to NASD equity trade reporting requirements.

Questions regarding this notice should be directed to: Market Integrity Department, 203.375.9609.

Tara Petta  
Associate Director