

**The NASDAQ Options Market<sup>SM</sup>: History of Execution and Routing Fees**

**2011**

<b>Date</b>	<b>Change</b>
11/08/2011	-Updated NOM Port Pricing as per rule filing: <a href="#"><u>SR-NASDAQ-2011-111</u></a>
09/22/2011	-Updated NOM Pricing as per rule filing: <a href="#"><u>SR-NASDAQ-2011-128</u></a>
09/12/2011	-Updated NOM pricing as per rule filing: <a href="#"><u>SR-NASDAQ-2011-127</u></a>
09/12/2011	-Updated NOM pricing as per rule filing: <a href="#"><u>SR-NASDAQ-2011-124</u></a>
09/01/2011	-Updated NOM pricing as per rule filing: <a href="#"><u>SR-NASDAQ-2011-112</u></a>
06/01/2011	-Updated NOM pricing as per rule filing: <a href="#"><u>SR-NASDAQ-2011-069</u></a>
05/01/11	-Updated NOM pricing as per rule filing: <a href="#"><u>SR-NASDAQ-2011-066</u></a>
04/01/11	-Updated NOM pricing as per rule filing: <a href="#"><u>SR-NASDAQ-2011-046</u></a>
01/03/11	-Updated NOM routing pricing to reflect ISE rule filing: <a href="#"><u>SR-ISE-2010-120</u></a>

**2010**

<b>Date</b>	<b>Change</b>
12/01/10	-Updated Customer routing fees for CBOE and introduced pricing for “Professional” account designation per rule filing: <a href="#"><u>SR-NASDAQ-2010-149</u></a> .
10/29/10	-Updated routing fees for C2 per rule filing: <a href="#"><u>SR-NASDAQ-2010-139</u></a>
10/01/10	-Updated NOM pricing per rule filing: <a href="#"><u>SR-NASDAQ-2010-124</u></a>
09/01/10	-Updated NOM pricing as per rule filing: <a href="#"><u>SR-NASDAQ-2010-105</u></a>

07/20/10	-Updated NOM pricing as per rule filing: <a href="#">SR-NASDAQ-2010-90</a>
07/01/10	-Updated NOM pricing as per rule filing: <a href="#">SR-NASDAQ-2010-75</a> -Updated NOM routing pricing to reflect ISE make/take as per rule filing: <a href="#">SR-NASDAQ-2010-82</a>
05/24/10	-Updated fee schedule to reflect MNX and NDX pricing as per rule filing: <a href="#">SR-NASDAQ-2010-016</a>
05/03/10	-Updated fee schedule to reflect liquidity rebate for IWM, QQQQ, SPY and take fees for non-penny pilot options as per rule filing: <a href="#">SR-NASDAQ-2010-56</a>
03/01/10	-Implemented a simplified routing fee schedule as per rule filing: <a href="#">SR-NASDAQ-2010-27</a>
02/01/10	-Updated AMEX pricing as per rule filing: <a href="#">SR-AMEX-2010-07</a> -Updated ISE pricing to reflect CQQQ & FIW as "Singly Listed" -Updated PHLX pricing to reflect C, QQQQ, IWM as make/take names as per rule filing: <a href="#">SR-PHLX-2010-14</a>
01/04/10	-Updated AMEX pricing as per rule filing: <a href="#">SR-AMEX-2010-01</a> -Updated BOX pricing as per rule filing: <a href="#">SR-BX-2009-088</a> & <a href="#">SR-BX-2009-083</a> -Updated CBOE pricing as per rule filing: <a href="#">SR-CBOE-2009-098</a> -Updated NSYE Arca pricing as per rule filing: <a href="#">SR-NYSEArca-2010-01</a>

## 2009

Date	Change
12/01/09	-Updated NOM Market Opening Auction pricing as per NOM rule filing: <a href="#">SR-NASDAQ-2009-103</a> -Updated BOX pricing as per rule filing: <a href="#">SR-BX-2009-72</a> , <a href="#">SR-BX-2009-71</a> -Updated NYSE Arca pricing for ISE FX options -Updated ISE NZD, PZO, & SKA pricing -Updated CBOE pricing to remove BXO and RUH as per CBOE rule filing: <a href="#">SR-CBOE-2009-95</a>
11/02/09	-Updated NOM customer remove fee for penny pilot options as per NOM rule filing <a href="#">SR-NASDAQ-2009-098</a>
10/01/09	-Updated ISE pricing to reflect FX Options NZD, PZO, SKA -Updated PHLX pricing to reflect World Currency Options (WCO's)
09/01/09	-updated BOX pricing as per BOX filing: <a href="#">SR-BX-2009-56</a> -Updated AMEX pricing as per AMEX filing: <a href="#">SR-AMEX-2009-38</a> -Updated ISE pricing as per ISE filing: <a href="#">SR-ISE-2009-63</a> -Updated CBOE OEX, SPX, & SPX options pricing -Updated NYSE Arca pricing to include ISE FX options -Updated PHLX Index Option Pricing
08/03/09	-Updated BOX pricing for non penny pilot options as per BOX filing: <a href="#">SR-BX-2009-044</a>
07/20/09	-Updated BOX pricing for routing as per BOX filing: <a href="#">SR-BX-2009-33</a> -Updated ISE pricing for routing to clarify pricing for singly listed FX and ETF options.
07/01/09	-Updated NOM rebates and fees as per NOM filing: <a href="#">SR-NASDAQ-2009-067</a>
06/18/09	-Updated ISE pricing to reflect options traded on the second market

05/06/09	-Updated PHLX pricing as per PHLX rule filing: <a href="#">SR-PHLX-2009-41</a> -Updated ISE pricing as per ISE rule filing: <a href="#">SR-ISE-2009-26</a>
05/01/09	-Deleted premium product list from ISE pricing as per ISE rule filing: <a href="#">SR-ISE-2009-21</a>
04/16/09	-Added EDC, EDZ to ISE premium product list as per ISE rule filing: <a href="#">SR-ISE-2009-20</a> -Removed RUI, RUT from ISE premium product list as these products are covered separately under
04/13/09	-Changed rebates and fees for executions on NOM: <a href="#">SR-NASDAQ-2009-034</a>
03/10/09	-Added PFF and USL to ISE premium product list as per ISE rule filing: <a href="#">SR-ISE-2009-12</a>
02/09/09	-Expanded list of options in which Customers (C) do not pay a fee to remove liquidity: <a href="#">SR-NASDAQ-2009-008</a>
01/21/09	-Added PSQ, SH, TBT, & UDN to ISE premium product list as per ISE rule filing: <a href="#">SR-ISE-2009-03</a>
01/12/09	-Decreased fee for Customers (C) to \$0.00 when the member firm does not execute against itself: <a href="#">SR-NASDAQ-2009-001</a>
01/07/09	-Increased charges for routing to CBOE as per CBOE rule filing: <a href="#">SR-CBOE-2008-128</a> -Added NXTQ to ISE premium product list as per ISE rule filing: <a href="#">SR-ISE-2008-98</a>

## 2008

Date	Change
12/15/08	-Added IAU, XLV to and deleted SAW from ISE premium product list as per ISE rule filing: <a href="#">SR-ISE-2008-93</a> -Added BGU, BGZ, ERX, ERY, FAS, FAZ, IWS, TNA, TZA, UYM, VB, VDE, VTV, VV to ISE premium product list as per ISE rule filing: <a href="#">SR-ISE-2008-94</a>
12/01/08	-Increased rebate to \$0.35 for added liquidity when using price improving orders: <a href="#">SR-NASDAQ-2008-89</a> .
10/28/08	-Added, IAT, IEO, IHI, and XOP to ISE list of premium products as per ISE rule filing: <a href="#">SR-ISE-2008-79</a>
10/15/08	-Added DOG, EEB, ITB, & SLX to ISE list of premium products as per ISE rule filing: <a href="#">SR-ISE-2008-77</a>
10/03/08	-Updated fees for routing to NASDAQ OMX PHLX in MNX, NDX, RUT, & RMN as per PHLX rule filing: <a href="#">SR-PHLX-2008-72</a>
10/01/08	-Added IEZ, VGT, VO, XBI, & XES to ISE list of premium products as per ISE rule filing: <a href="#">SR-ISE-2008-76</a> -Increased ISE firm transaction fees by \$0.02 to reflect the ISE price change as per ISE rule filing: <a href="#">SR-ISE-2008-71</a>

09/23/08	Added pricing for BXO & RUH as per CBOE rule filing: <a href="#">SR-CBOE-2008-100</a>
09/18/08	Added DBB, DBC, EFU, EPP, TIP, URE, UUP, & VTI to ISE list of premium products as per ISE rule filing: <a href="#">SR-ISE-2008-68</a>
08/14/08	-Combined MNX & NDX into one line under BOX pricing (no price change) -Added DIG, IYF, KOL, KRE, RKH, SMN, SRS, VAW, VEA, VNQ, VUG, & VWO to ISE list of premium products as per ISE rule filing: <a href="#">SR-ISE-2008-65</a> .

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